### KING & SPALDING, LLP

191 Peachtree Street Atlanta, Georgia 30303-1763 Telephone: 404/572-4600 Facsimile: 404/572-5100

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TO: Examiner E. Kiss

GAU 2122

U.S. Serial No. 09/642,625

Company:

U.S. Patent and Trademark Office

Fax #:

703-872-9306

City/State:

Alexandria, VA 22313

Mail Stop ISSUE FEE

FROM: Steven P. Wigmore

5551

Qur Ref. #:

05456.105041

NUMBER OF PAGES (including transmittal sheet). 30

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#### Notes/Comments:

Documents Submitted Via Facsimile:

Applicant:

Peter A.J. Van Der Made

Serial No.:

09/642,625

Filed:

August 18, 2000

For:

Computer Immune System and Method for Detecting Unwanted Code in a

Computer System

Papers Submitted: Request for Initialed Copies of the PTO-1449 Forms [2-pgs.]; IDS's filed on (February 7, 2003 [4-pgs.]; April 3, 2002 [4-pgs.]; March 22, 2002 [4-pgs.]; July 26, 2001 [4pgs.]; June 27, 2001 [3-pgs.]; and August 18, 2000 [2-pgs.]; post card receipts [6-pgs.]

**PATENTS** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter A. J. Van Der Made

Serial No. 09/642,625

Filed: August 18, 2000

For: Computer Immune System and Method for Detecting Unwanted Code in a Computer System

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### REQUEST FOR INITIALED COPIES OF THE PTO-1449 FORMS FILED WITH THE INFORMATION DISCLOSURE STATEMENTS

Commissioner for Patents Mail Stop Box Issue Fee Alexandria, VA 22313-1450

March 11, 2005

Sir:

The Applicant respectfully requests the Examiner to consider and initial the attached PTO-1449 forms that were previously filed with six Information Disclosure Statements (IDS's) prior to the Notice of Allowance of February 28, 2005. The Applicant has not received copies of these PTO-1449 forms that bear the Examiner's initials.

For the Examiner's convenience, attached hereto are copies of the IDS's with the PTO-1449 forms that were not properly initialed by the Examiner. These documents were filed on the following dates: February 7, 2003, PTO-1449 (3-pgs.); April 3, 2002, PTO-1449 (1-pg); March 22, 2002, PTO-1449 (1-pg.); July 26, 2001, PTO-1449 (1-pg.); June 27, 2001, PTO-1449 (1-pg.); and August 18, 2000, PTO-1449 (1-pg.). The Applicant has also provided post card receipts for each submission that evidences the receipt of these documents in the U.S. Patent and Trademark Office.

I hereby certify that this correspondence is being facsimile transmitted to: Commissioner for Patents, Mail Stop Issue Fee, P. O. Box 1450, Alexandria, VA 22313-1450, GAU 2122, Attn: Examiner E. Kiss, Facsimile No. (703) 872-9306 on March 11, 2005.

Steven P. Yigmore, Rog. No. 40,447

The U.S.P.T.O. official mailroom stamp atfixed hereto acknowledges receipt of the items listed below.

**Applicant** 

Peter A.J. van der Made

Serial No.

09/642,625

Title

Computer Immune System and Method for Detecting Unwanted

Code in a Computer System

Papers Submitted:

Information Disclosure Statement (1 pg.); PTO-1449 form (3

pgs.); copies of thirty-one (31) cited references; Return Postcard

Attorney

Date Mailed

Docket

WSP/Ilo

February 7, 2003 No. 05456.105041

Client Name) VCIS Inc.	be U.S. Patent and Trademark office was received in the Paten
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Application for Patent Including	
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Assign. Ck Nofor \$_RE	CEIVED Ck. No Professor
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PATENT 81924.0001

Date

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter A.J. van der Made

Serial No: 09/642,625

Filed:

August 18, 2000

For:

COMPUTER IMMUNE SYSTEM

AND METHOD FOR DETECTING

UNWANTED CODE IN A COMPUTER SYSTEM

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sirs:

Art Unit: 2122

Examiner: Not Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington D.C. 20231, on
April 3, 2002

Withom M. Wright Reg. No. 36.312

- 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
- 2. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

#### §1.97(e) STATEMENT

I, the person signing below, state:

that each item of information contained in the information disclosure statement
 was first cited in the attached communication from a foreign patent office in a
counterpart foreign application and that the communication is dated not more
than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

$\Box$	that no item of information contained in the information disclosure statement
	was cited in a communication from a foreign patent office in a counterpart
	foreign application, and, to the knowledge of the person signing the certification
	after making reasonable inquiry, no item of information contained in the

PATENT 81924.0001

information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

		§1.97(e)(2).
		OR FEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
<b>3.</b>	per iss	e information disclosure statement transmitted herewith is being filed ofter the riod specified in §1.97(c), but before, or simultaneously with the payment of the ue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are luded. 37 C.F.R. §1.97(d).
		§1.97(e) STATEMENT
	I, t	he person signing below, state:
		that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
		OR
		that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
		AND FEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
<b>4.</b>	ned abo	t should be determined that for any reason either an insufficient fee or an essive has been paid, please charge any insufficiency or credit any overpayment essary to ensure consideration of the information disclosure statement for the ove-identified application to Deposit Account No. 50-1314. A copy of this tition is enclosed.

5. A list of 4 reference(s) is in the enclosed Form PTO-1449.





PATENT 81924.0001

#### NON-ENGLISH LANGUAGE REFERENCES

لسا	has provided comments on the relevancy of any non-English language references cited in the search report.
	The specification incorporates comments on the relevancy of Non-English language references.
	Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

By:

Date: April 3, 2002

Biltmore Tower 500 South Grand Avenue, Suite 1900

Los Angeles, CA 90071 Telephone: (213) 337-6700 Facsimile: (213) 337-6701 Respectfully submitted,

HOGAN & HARTSON L.L.P.

William H. Wright Registration No. 36.312

Attorney for Applicant(s)

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PATENT 81924.0001

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2122

Examiner: Not Assigned

I hereby certify that this correspondence is being deposited with the United States

In re application of:

Peter A.J. van der Made

Serial No: 09/642,625

Filed:	August 18, 2000	Postal Service with sufficient postage as
For:  TRAN DISCI	COMPUTER IMMUNE SYSTEM AND METHOD FOR DETECTING UNWANTED CODE IN A COMPUTER SYSTEM ISMITTAL OF INFORMATION LOSURE STATEMENT	first class mail in an envelope addressed to:  Commissioner for Patents Washington D.C. 20231, on  March 22, 2002 Date of Deposit William A. Wrights Reg. No. 36,312 Nalins  Signature Date
	issioner for Patents ngton, D.C. 20231	
Dear S	irs:	
1.	The information disclosure statement submitted months of the filing date of the application other application, or within three months of the date international application, or before the mailing merits, or before the mailing of a first Office accontinued examination under §1.114, whichever §1.97(b).	er than a continued prosecution of entry into the national stage of an g date of a first Office Action on the tion after the filing of a request for
2.	The information disclosure statement transmit period specified in §1.97(b), but before the mail §1.113, or a notice of allowance under §1.311, or prosecution in the application, whichever occus §1.97(e) or a fee set forth in §1.17(p) is include:	ing date of a final action under or an action that otherwise closes rs first. A statement specified in
	§1.97(e) STATEME	INT

§1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).
§1.97(e) STATEMENT
I, the person signing below, state:
that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
OR
that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the

PATENT 81924.0001

information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R.

#### §1.97(e)(2). OR FEE Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d). §1.97(e) STATEMENT I, the person signing below, state: that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1). OR that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2). AND FEE Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00). 4. If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this

- petition is enclosed.
- 5. A list of 4 reference(s) is in the enclosed Form PTO-1449.

PATENT 81924.0001

#### **NON-ENGLISH LANGUAGE REFERENCES**

nterpart application. The search report Examiner ancy of any non-English language references cited
nents on the relevancy of Non-English language
ed by the applicant's home country counsel on the references:
Respectfully submitted,
HOGAN & HARTSON L.L.P.  By:
William H. Wright
Registration No. 36,312
Attorney for Applicant(s)

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PATENT 81924.0001

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re a	pplication of:	A	rt Unit:	2122
Peter A	A.J. van der Made	E	Examiner:	Not Assigned
Serial	No: 09/642,625			
Filed:	August 18, 2000			that this is being deposited with tes Postal Service with
	COMPUTER IMMUNE SYSTEM AND METHOD FOR DETECTING UNWANTED CODE IN A COMPUTER SYSTEM			ge as first class mail in iressed to: for Patents
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	ssioner for Patents agton, D.C. 20231		Signature	Date
Dear S	irs:			
1. 🛛	The information disclosure statement submitted her months of the filing date of the application other the application, or within three months of the date of en international application, or before the mailing date merits, or before the mailing of a first Office action a continued examination under §1.114, whichever ever §1.97(b).	in tr of	a continued y into the na 'a first Offic er the filing	prosecution ational stage of an a Action on the of a request for
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	§1.97(e) STATEMENT			
	I, the person signing below, state:			
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	that no item of information contained in the info	rn en	nation disclo	sure statement counterpart

PATENT 81924.0001

foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

	91.57(e)(2).
	OR FEE
	Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).
3.	The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).
	§1.97(e) STATEMENT
	I, the person signing below, state:
	that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
	OR
	that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).
	AND FEE
	Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4.	If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this petition is enclosed.

COPY

5. A list of 1 reference(s) is in the enclosed Form PTO-1449.

Los Angeles, CA 90071 Telephone: (213) 337-6700

(213) 337-6701

Facsimile:

PATENT 81924.0001

#### **NON-ENGLISH LANGUAGE REFERENCES**

Enclosed is a search report for a conhas provided comments on the relein the search report.	interpart application. The search report Examiner vancy of any non-English language references cited
☐ The specification incorporates comreferences.	ments on the relevancy of Non-English language
Set forth below are comments provided by the applicant's home country counsel on t relevancy of non-English language references:	
* .	Respectfully submitted, HOGAN & HARTSON L.L.B.
Date: July 26, 2001	By: William H. Wright
Biltmore Tower	Registration No. 86,312
500 South Grand Avenue Suite 1900	Attorney for Applicant(s)

Computer from	une your	Mailed 6-27-01	By: (1) The Patent
(Client Name)	in the U.S. Patent a	nd Trademark office was rec	
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PATENT 81924.0001

Date

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter A.J. van der Made

Serial No: 09/642,625

Filed: August 18, 2000

COMPUTER IMMUNE SYSTEM AND For:

METHOD FOR DETECTING UNWANTED

CODE IN A COMPUTER SYSTEM

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sirs:

Art Unit:	2122
Examiner:	Not Assi

2122

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	I hereby certify that this
	correspondence is being deposited with
١	the United States Postal Service with
	sufficient postage as first class mail in
	an envelope addressed to:
	Commissioner for Patents
	Washington D.C. 20231, on
	June 27, 2001
	Date of Deposit
	William H. Wright, Ref. No. 36.312
	Nome

- 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
- 2. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT
I, the person signing below, state:
that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
OR
that no item of information contained in the information disclosure statement

PATENT 81924.0001

#### NON-ENGLISH LANGUAGE REFERENCES

	Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
	The specification incorporates comments on the relevancy of Non-English language references.
Set forth below are comments provided by the applicant's home country counsel or relevancy of non-English language references:	
	Respectfully submitted, HOGAN & HARTSON L.L.P.

Registration No. 35,312 Attorney for Applicant(s)

Date: June 27, 2001

Biltmore Tower 500 South Grand Avenue, Suite 1900

Los Angeles, CA 90071 Telephone: (213) 387-67

Telephone: (213) 337-6700 Facsimile: (213) 337-6701

S.N. Not ASSIGNED File No. 81924-0001 I	Date Mailed 8/18/00 By: Wilhilian
Tille Competter Finnune System	And Method for Detection
(Client Name) VC. IS, Inc.	
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